

U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE		DATE: July ( .07.2004)
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (if known) Not Yet Assigned <b>10/1502539</b>
INTERNATIONAL APPLICATION NO.: PCT/KR02/01268	INTERNATIONAL FILING DATE: 04 July 2002 (04.07.2002)	PRIORITY DATE CLAIMED: 08 February 2002 (08.02.2002) KR
TITLE OF INVENTION: <b>HETERODIMERIC CONJUGATES OF NEOMYCIN-OXAZOLIDINONE, THEIR PREPARATION AND THEIR USE</b>		
APPLICANT(S) FOR DO/EO/US: YU, Jaehoon; LEE, Jongkook; KWON, Miyun; PAE, Ae, Nim; KOH, Hun, Yeong;		
Applicant hereby submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 USC 371(f) The submission must include items(5), (6), (9) and (21) indicated below.</p> <p>4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)):</p> <p style="margin-left: 20px;">a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</p> <p style="margin-left: 20px;">b. <input type="checkbox"/> has been communicated by the International Bureau.</p> <p style="margin-left: 20px;">c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</p> <p>6. <input type="checkbox"/> A English translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p style="margin-left: 20px;">a. <input type="checkbox"/> are attached hereto (required only if not transmitted by the International Bureau).</p> <p style="margin-left: 20px;">b. <input type="checkbox"/> have been communicated by the International Bureau.</p> <p style="margin-left: 20px;">c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p style="margin-left: 20px;">d. <input checked="" type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)) as provided in the PCT Request.</p> <p>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>		
<b>ITEMS 11 to 20 BELOW CONCERN OTHER DOCUMENT(S) OR INFORMATION INCLUDED:</b>		
<p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input type="checkbox"/> A <b>FIRST</b> preliminary amendment.</p> <p>14. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter2 and 35 USC 1821 - 1825</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 USC 154(d)(4)</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 USC 154(d)(4)</p> <p>20. <input checked="" type="checkbox"/> Other items or information:          APPLICATION DATA SHEET; TRANSMITTAL FORM; FEE CALCULATION; INTERNATIONAL PUBLICATION WO 03/066648 A1 WITH INTERNATIONAL PUBLICATION DATE OF 14 AUGUST 2003 WITH SEARCH REPORT WHICH CONSISTS OF 28 PAGES INCLUDING 1 COVER SHEET CONTAINING THE ABSTRACT; 21 PAGES TEXTUAL SPECIFICATION, 3 PAGES OF 9 CLAIMS, 3 PAGES SEQUENCE LISTING; 0 SHEETS DRAWINGS;; EXECUTED INVENTOR'S DECLARATION; EXECUTED ASSIGNMENT AND RECORDATION COVER SHEET; PCT/IPEA/409 INTERNATIONAL PRELIMINARY EXAMINATION REPORT; INFORMATION DISCLOSURE STATEMENT; PTO FORM 1449 CITING 5 REFERENCES.</p>		

U.S. APPLICATION NO. (if known) not yet assigned <b>10/502539</b>		INTERNATIONAL APPLICATION NO. PCT/KR02/01268		DATE: 27 July 2004 (27.07.2004)	
17. <input checked="" type="checkbox"/> The following fees are submitted:				CALCULATIONS	
Basic National Fee (37 CFR 1.492(a)(1)-(5): Search Report has been prepared by the EPO or JPO:.....\$920.00					
International preliminary examination fee paid to USPTO (37 CFR 1.482).....\$730.00					
No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)).....\$770.00					
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....\$1080.00				\$ 1,080.00	
International preliminary examination fee (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) IPEA-U.S.....\$ 100.00					
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$ 1,080.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than ____ 20 ____ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NO. FILED	NO. EXTRA	RATE		
TOTAL	9 -20=	0	X \$ 18.00	\$	.00
INDEPENDENT	1 - 3=	0	X \$ 84.00	\$	.00
Multiple dependent claims(s) (if applicable)			+ \$280.00	\$	0.00
TOTAL OF ABOVE CALCULATIONS =				\$	1,080.00
Reduction by 2 for asserting small entity, if applicable. (Note 37 CFR 1.9, 1.27, 1.28).				\$	-540.00
SUBTOTAL =				\$	540.00
Processing fee of \$130.00 for furnishing the English translation later than ____ 20 ____ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	0.00
TOTAL NATIONAL FEE =				\$	540.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$	40.00
TOTAL FEES ENCLOSED =				\$	580.00
				Amount to be:	
				refunded	\$
				charged	\$

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a. ☒ Two checks in the aggregate amount of \$ 580.00 to cover the above fees are enclosed.

b. ☐ Please charge my Deposit Account No. 14-0112 in the amount of \$ \_\_\_\_\_ to cover the above fees. (A duplicate copy of this sheet is enclosed.)

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-0112.

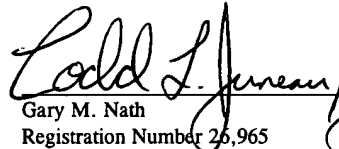
d. Fees are to be charged to a credit card ☐ WARNING: Information on this form may become public ☐ Credit Card Information should not be included on this form. ☐ Provide credit card information and authorization on PTO-2038

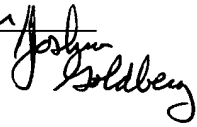
**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed to request that the application be restored to pending status.

Send All Correspondence To:

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
YU et al.

! DT03 Rec'd PCT/PTO .27 JUL 2004

International Application No. PCT/KR02/01268

Serial No. NOT YET ASSIGNED

International Filing Date: 4 July 2002 (04.07.2002)

Filed: July 27, 2004

For: **HETERODIMERIC CONJUGATES OF NEOMYCIN-OXAZOLIDINONE, THEIR  
PREPARATION AND THEIR USE**

TRANSMITTAL LETTER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith for filing in the U.S. Patent and Trademark  
Office is the following:

- (1) Transmittal Letter
- (2) Transmittal Letter To U.S. Designated/Elected Office  
(DO/EO/US) Concerning Filing under 35 U.S.C. 371
- (3) International Publication No: WO 03/066648 A1  
International Publication Date: 14 August 2003 (14.08.2003)  
With Search Report which consists of 28 pages including:
  - 1 cover sheet containing the Abstract,
  - 21 pages Textual Specification,
  - 3 pages of 9 claims,
  - 3 pages Sequence Listing,
  - 0 sheets of Drawings;
- (4) Executed Inventor's Declaration;
- (5) Executed Assignment and Recordation Cover Sheet;
- (6) PCT/IPEA/409 International Preliminary Examination Report;
- (7) Information Disclosure Statement;
- (8) PTO Form 1449 citing 5 references;
- (9) Check No. 21418 \$ 540.00 for Government Filing Fee as a  
small entity;
- (10) Check No. 21419 \$ 40.00 for recordation fee;
- (11) Postcard for early notification of serial number.

Respectfully submitted,  
**NATH & ASSOCIATES PLLC**

By:

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Date: July 27, 2004  
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